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Gravity Gradients at Satellite Altitudes

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Gravity Gradients at Satellite Altitudes

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ABSTRACT. The availability of detailed worldwide gravity anomaly information in the form of 1° square blocks makes possible the computation of gravity gradients at close (under 300 km) altitudes, thus indicating the sensitivity required of a satellite-borne gradiometer. As a first step, the gravity anomaly data are transformed to spherical harmonics up to degree and order 75. A comparison with the global rule-of-thumb, $10^{-5}/l^2$, for the r.m.s. magnitude of an individual normalized harmonic of degree l shows close agreement for $15 \leq l \leq 75$. Satellite orbits are then generated by numerical integration, the time step being set to a sampling rate of the gradiometer, and gravity gradients can be computed at this interval. A coordinate system is chosen to correspond to the axis of rotation of the instrument.

Results of simple averaging indicate that to distinguish the combined harmonics of a single degree in the range of 60 or 70, a sensitivity of better than 0.01 Eötvös unit is required, and to pick up the total band of harmonics between degrees 60 and 70, a sensitivity of 0.02 Eötvös unit is needed. However, a detailed harmonic analysis making use of the maximum entropy technique shows that specific components of degree around 70 with amplitude higher than 0.03 Eötvös unit can be distinguished. A gradiometer sensitive to 0.01 Eötvös unit therefore should provide useful information.

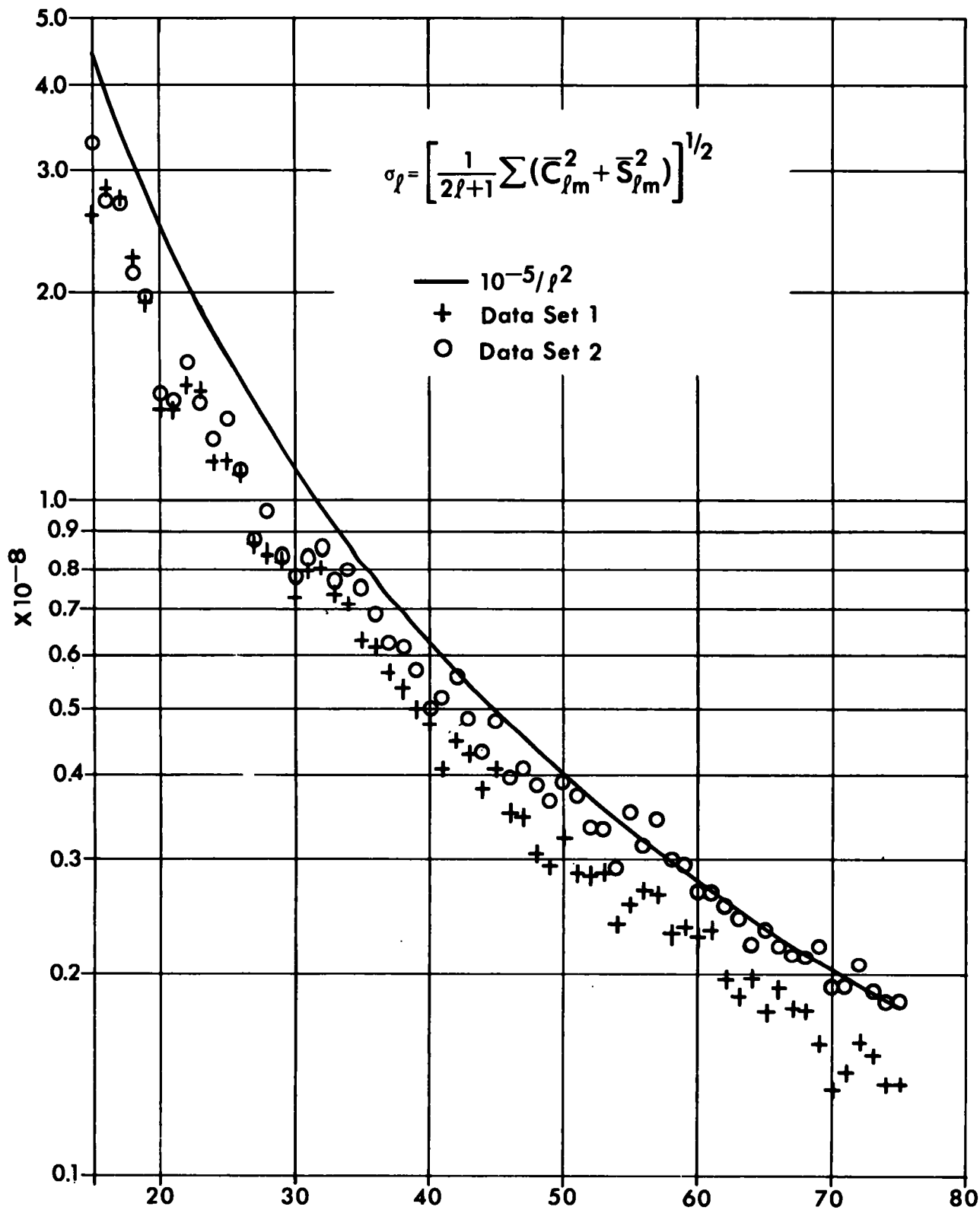


FIGURE 1.—Comparison of two sets of discrete degree variances, σ_l , ($15 \leq l \leq 75$) computed from observed data against the rule-of-thumb $10^{-5}/l^2$.

1. GRAVITY ANOMALIES TO SPHERICAL HARMONICS

Among the various methods being considered for improving knowledge of the global gravity field by satellites, gravity gradiometry is one of the most promising (Kaula 1969). Its advantages are independence of tracking stations and other satellites, near-continuous data gathering, and sensitivity to the higher harmonics of the field. However, it requires a dedicated satellite and an instrument whose precision appears to strain the limit of current technological capabilities.

The purpose of this study is to determine the magnitude of gravity gradients at low satellite altitudes (about 300 km) so that the sensitivity level required of a satellite gradiometer instrument can be ascertained. Previous quantitative analyses of this nature (Kaula 1971, Forward 1972) were based essentially on Kaula's rule-of-thumb, which expresses the magnitude of the individual normalized harmonic coefficient of degree l as $10^{-5}/l^2$. Other empirical rules of this nature, e.g., by Meissl (1971), differ very little in substance. For the effect of all harmonics of degree l , Kaula (1971) estimated about 10^{-2} EU (Eötvös unit—1 Eötvös unit = 10^{-9} gal/cm) for $l=40$, and 0.5×10^{-2} EU for $l=75$ at 260-km altitude. Forward's (1972) figures are roughly twice those of Kaula, because of a different method of summation and a slight variation in the power law.

The solid line in figure 1 depicts the (nondimensional) magnitude of the set of normalized spherical harmonics of degree l according to Kaula's rule-of-thumb.

The above estimates are global averages based on an empirical rule from which wide deviations possibly could occur in specific areas. We first utilized a published (Aeronautical Chart and Information Center 1971) set of approximately 20,000 $1^\circ \times 1^\circ$ gravity anomalies based on observed data, covering about 30 percent of the world. Subsequently we obtained several thousand additional $1^\circ \times 1^\circ$ anomalies, which increased the coverage considerably. Although the quality of material is variable, coverage over certain land areas, such as the United States and Europe, is quite dense and accurate. It could, therefore, be considered that the field is sufficiently known over these specific regions so that gravity gradient values at altitude could be judged to assume their actual

values and vary as a function of position.

Because a satellite-borne gradiometer would sample the field approximately every 30 seconds along the path of a satellite orbit, our approach was to simulate typical satellite orbits over areas of dense coverage, and compute from the data gravity gradients at discrete intervals along the path. Orbital procedures previously programmed in the Geodetic Research and Development Laboratory (Gulick 1970, Witte 1971), which already included the computations of exactly those quantities desired, could be used with little or no alteration if the gravitational field were expressed in terms of spherical harmonics.

Therefore, the first step in the computation was the conversion of the set of gravity anomalies Δg to spherical harmonics of degree l and order m by the standard formula

$$\begin{Bmatrix} \bar{C}_{lm} \\ \bar{S}_{lm} \end{Bmatrix} = \frac{a}{4\pi\mu(l-1)} \int_{\Sigma} \int \Delta g \bar{P}_{lm}(\sin \phi) \begin{Bmatrix} \cos m\lambda \\ \sin m\lambda \end{Bmatrix} d\Sigma,$$

where the overbar indicates that the spherical harmonic coefficients C_{lm} , S_{lm} and Legendre polynomials P_{lm} are normalized; ϕ and λ are geocentric latitude and longitude, a is the Earth's semi-major axis, and μ is the Newtonian gravitational constant times the Earth's mass.

But this requires integration over the entire surface, Σ , of the Earth, and there were gaps in the sets of $1^\circ \times 1^\circ$ anomalies. A set of $5^\circ \times 5^\circ$ mean anomalies obtained from Air Force Cambridge Research Laboratories was used to fill these gaps. The equation was then computed in the following manner: The integration was replaced by a summation over 1° squares for the entire globe. If Δg was available for a given 1° square from the 1° set, it was used; otherwise Δg was assigned the value of the 5° square in which it fell. The computation was carried out through $l, m=88$. However, the series could be applied usefully only through 75th degree and order, since numerical problems caused the magnitudes of the coefficients to diverge upward beyond this point. For this study, it was judged that the series through 75th degree was sufficient, so no attempt has been made to isolate and correct the cause of this divergence.

In figure 1 the set of discrete points plot

$$\sigma_l = \frac{1}{2l+1} \sum_m [(\bar{C}_{lm}^2 + \bar{S}_{lm}^2)]^{1/2}.$$

The crosses and circles designate the values based on the smaller and larger sets of 1° anomalies, respectively. The lesser set yielded magnitudes about 25 percent less than the $10^{-5}/l^2$ curve, but this deficiency is almost completely eliminated by the larger set. This is an excellent confirmation of Kaula's rule-of-thumb. The discrepancy of the smaller set probably is due to artificial smoothing introduced by the more extensive use of the 5° anomalies.

2. SPHERICAL HARMONICS TO GRAVITY GRADIENTS

At this point we have available a set of spherical harmonics up to degree and order 75 with which to calculate the gradients of gravity at any external point we choose. Since a gradiometer will sample the field at a given rate (about 30 seconds) along an orbit about 300 km high, we simulated such orbits, choosing paths that traversed over areas of dense 1°×1° anomaly coverage. The satellite orbit is generated by a numerical integration program in which the time steps of the integration are set to the sampling rate of the gradiometer. A position is calculated for each sampling time, and the six distinct gravity gradient components are computed as a function of position.

Gravity gradients are conveniently defined and manipulated by tensor formalism. The gravity gradient is the second covariant derivative of the potential, which for a Cartesian coordinate system is the matrix of second partials of the potential N ,

$$N_{qs} = (\partial^2 N / \partial x^q \partial x^s).$$

This matrix is symmetric, and since Laplace's equation holds it has but *five* independent quantities. Laplace's equation

$$\nabla^2 N = 0$$

may also be written

$$\text{Trace } (N_{qs}) = 0.$$

The reward in using the tensor formalism is that equations and definitions are easily transformed to another coordinate system indicated by an * (asterisk) in the following equations:

$$N_{ut}^* = J_u^q J_t^s N_{qs}$$

$$J_u^q = \frac{\partial x^q}{\partial x^{*u}}$$

In matrix notation this is

$$N^* = J' N J'^T,$$

where J' is the inverse of the Jacobian matrix. Derivations of these formulas and others are given by Hotine (1969), who named the gravity gradient the "Marussi tensor."

Comparisons are made along the same trajectory between gradients obtained from spherical harmonic geopotential models truncated at both low and high degrees. The differences between the gravity gradients will provide an indication of the contribution made to the gravity gradient by the higher degree harmonics.

All the orbit computations are done in an inertial coordinate system. The components of N_{qs} may be printed out in the inertial system, or it may be transformed into either of two other coordinate systems:

A. The "Gravity" Coordinate System

The 3-axis is defined by the local vertical, i.e.,

$$\hat{\mathbf{k}} = -\mathbf{g}/g,$$

where \mathbf{g} is the force of gravity expressed as a vector, and g its magnitude. Then the 2-axis is defined by the projection of the satellite's velocity vector, $\dot{\mathbf{r}}$, onto the 1-2 plane (fig. 2).

B. The "Orbital" Coordinate System

The 3-axis is defined as before, but the 2-axis is defined by the intersection of the orbital plane with the 1-2 plane (fig. 3). Note that the orbital plane is defined by the angular momentum vector

$$\mathbf{h} = \mathbf{r} \times \dot{\mathbf{r}}.$$

For the "gravity" or "orbital" coordinate systems the gradient takes on a highly diagonal form. If only the central force field were present ($N = \mu/r$), for these coordinate systems, $x = y = 0$, $z = r$. Then

$$N_{qs}^* = \begin{bmatrix} -\mu/r^3 & 0 & 0 \\ 0 & -\mu/r^3 & 0 \\ 0 & 0 & 2\mu/r^3 \end{bmatrix}$$

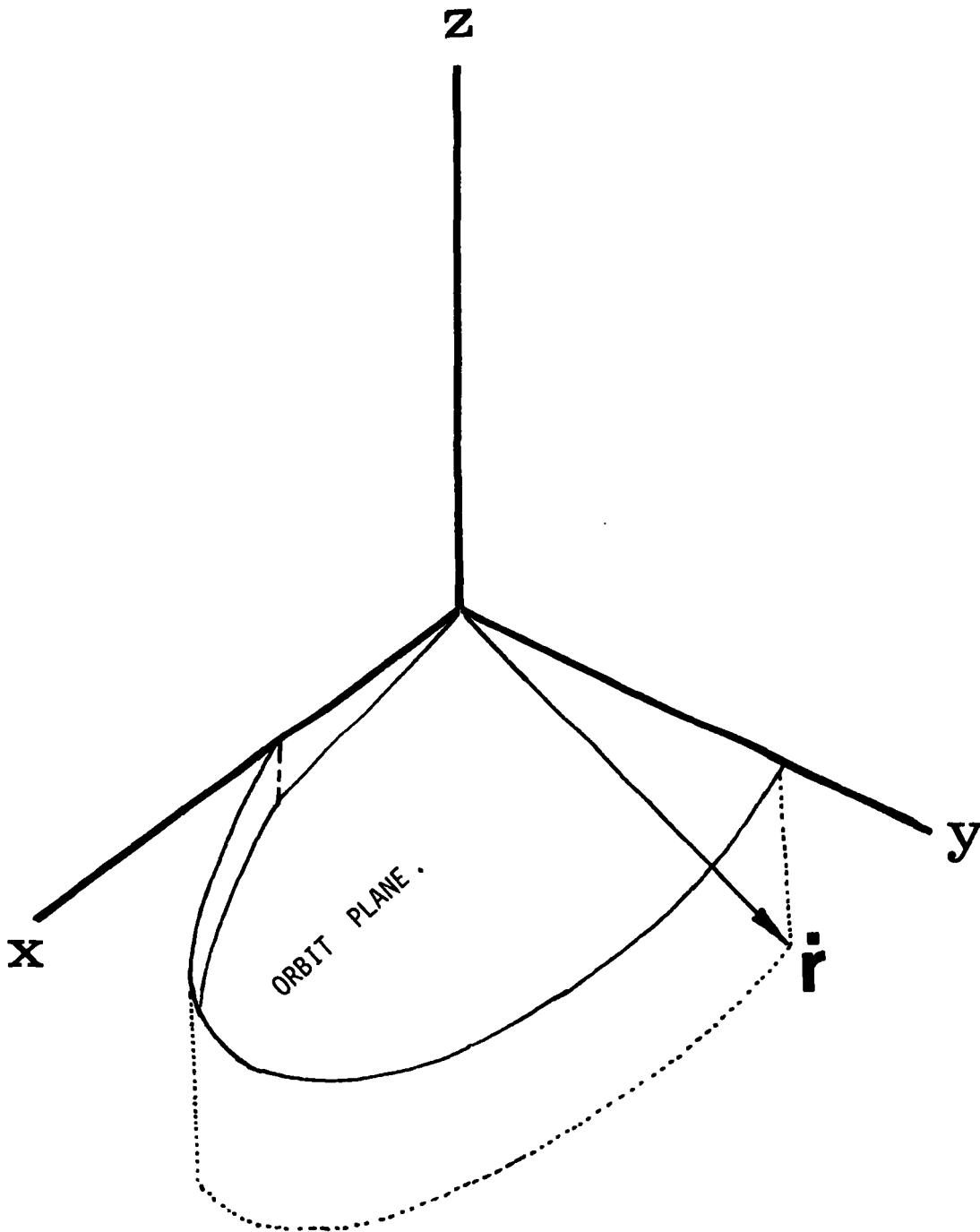


FIGURE 2. —The "gravity" coordinate system.

For a more realistic gravity model, the off diagonal terms are of the order of the oblateness, but the diagonal terms sum to zero by Laplace's equation.

Direct comparison can be made between gradients computed for the same time and nearly same trajectory. However, the comparison can be made more effectively by doing a harmonic analysis of the gradients. The differences between gravity gradients derived from high and low degree fields

should be in the higher frequencies. For a close satellite, the ground track is about 7.3 km/s, which, for a resolution of 250 to 1,200 km, implies that the wavelengths of interest will be about 30 to 180 seconds. For an integration time step of 30 seconds, this indicates a range of 1 to 6 time steps.

In choosing runs for the determination of gravity gradients, our purpose was twofold: first, to vary the gravitational model by selection of maximum

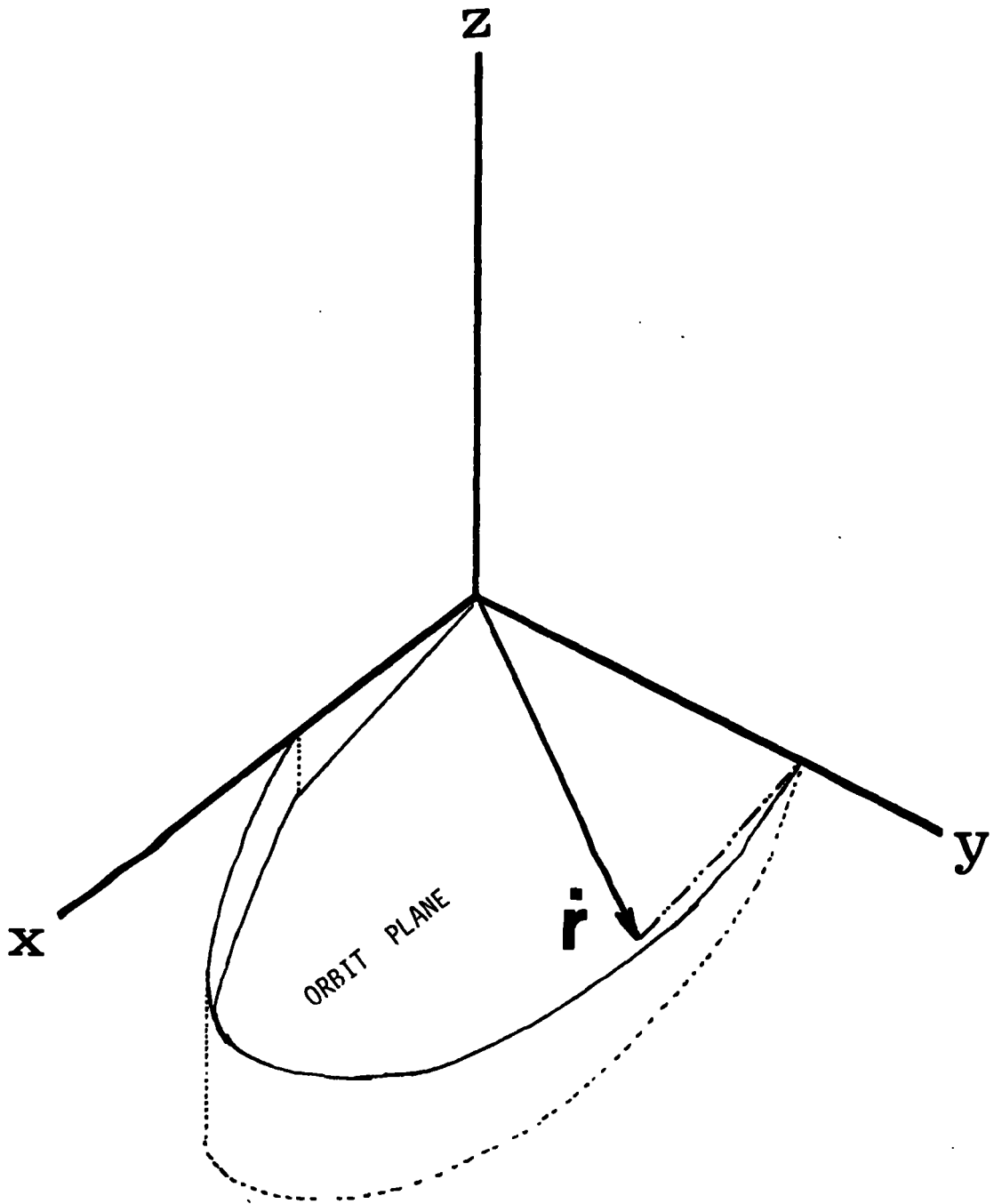


FIGURE 3.—The “orbital” coordinate system.

degree and order (up to 75) so that results from different models could be compared; second, to pick initial orbital conditions to generate a trajectory such that positions at which the gradients are computed would reflect as much as possible the effect of accurately observed data. Our practice was, for a given set of initial conditions, to run three gravitational models, of maximum degree and order 25, 70, and 75, for 24 time steps of 30 seconds

each (i.e., a 12-minute time arc, covering a ground path of about 5,300 km). Initial conditions were chosen such that the satellite trajectory began at 300-km height and passed over selected areas of the United States and surroundings, or Europe and North Africa. Although the program had the ability to handle other perturbations, such as drag, radiation pressure, and luni-solar gravitation, these options were not employed, so subsequent positions of the

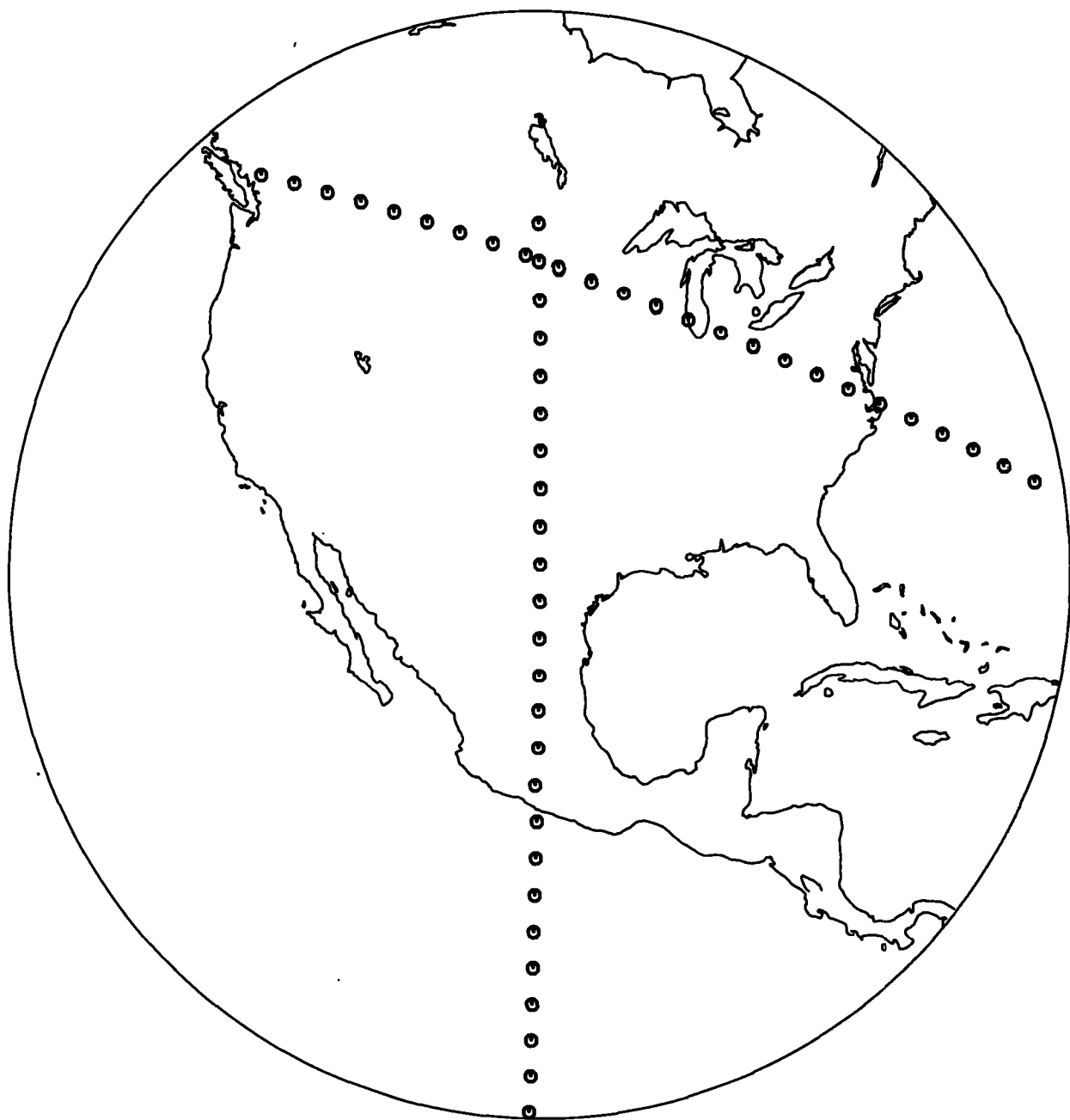


FIGURE 4.—Satellite paths corresponding to tables 4 and 5.

hypothetical arc were not completely realistic. Plots of satellite paths chosen and points at which the gravity gradient computations were made are shown in figures 4 and 5.

A sample computer output is displayed in figure 6. For each point at which the gravity gradient is computed, a grouping of five lines includes the time, and the position, velocity, and acceleration of the satellite in the particular coordinate system chosen (in this case the "gravity" coordinate system). In addition various orbital information is presented.

The six gravity gradients are contained in the matrix at the end of the top three lines.

3. SIMPLE AVERAGING OF RESULTS

Tables 1 through 5 illustrate the results at 2.5-minute intervals obtained with the smaller data set. Differences of gravity gradient components of different models are listed. The components are all in the "gravity" system. Tables 1 through 4 compare results from three models, viz., maximum l of 25,

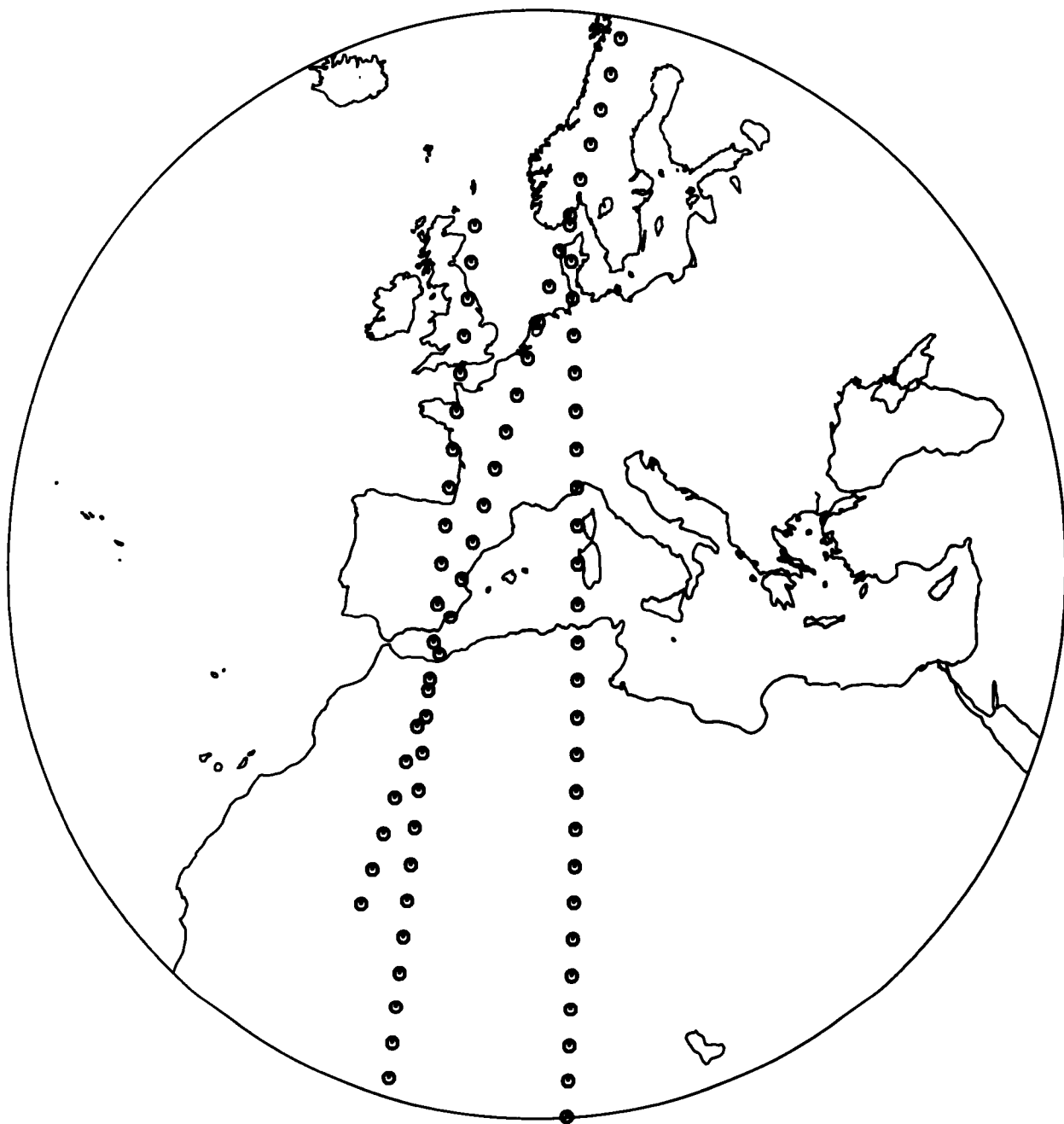


FIGURE 5.—*Satellite paths corresponding to tables 1, 2, and 3.*

FIGURE 6.—Sample computer output for gravity gradient determination.

1971 JAN.	1	1	39	30.0000	POS.	-955159.1	5412357.6	3749829.6	M	N(1,1)	-1352.2310	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.06909722	VEL.	3092301.5	16175030.6	-22499015.9	M/HR	N(2,S)	.4985		-1354.7849	
				ALT.= 282.0	KM ACC.	16738624.5	-94850035.7	-65908958.2	M/HR SQ	N(3,S)	.1166		-1.6695	2747.0159
				ELEMENTS- OSC.	A=	6661668.6	E= .001736005	I= 99.9915068		NODE=	273.1045968	A/P=	100.9660392	M= .1221841
				LAT.=	34.479	LONG.=	-24.657	GST=	8.3109927	TRUE=	44.1246423	EA=	44.0554352	
1971 JAN.	1	1	40	0.0000	POS.	-928814.0	5543829.0	3560087.6	M	N(1,1)	-1352.3520	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.06944444	VEL.	3229887.0	15374890.5	-23034444.1	M/HR	N(2,S)	.5084		-1355.0521	
				ALT.= 281.6	KM ACC.	16278435.7	-97163864.4	-62580407.5	M/HR SQ	N(3,S)	.0873		-1.7309	2707.4041
				ELEMENTS- OSC.	A=	6662286.4	E= .0017988768	I= 99.9911353		NODE=	273.1049187	A/P=	103.1778394	M= .1215859
				LAT.=	32.517	LONG.=	-25.279	GST=	8.3193489	TRUE=	43.9137453	EA=	43.8423062	
1971 JAN.	1	1	40	30.0000	POS.	-901338.5	5668553.6	3366000.2	M	N(1,1)	-1352.5214	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.06979167	VEL.	3363553.0	14559961.6	-23541806.8	M/HR	N(2,S)	.4810		-1355.3029	
				ALT.= 281.3	KM ACC.	15798107.1	-99358943.7	-59174178.9	M/HR SQ	N(3,S)	.0936		-1.6778	2747.8243
				ELEMENTS- OSC.	A=	6662885.2	E= .0018566555	I= 99.9905750		NODE=	273.1052098	A/P=	105.3547281	M= .1210854
				LAT.=	30.552	LONG.=	-25.881	GST=	8.3277050	TRUE=	43.7376716	EA=	43.6641753	
1971 JAN.	1	1	41	0.0000	POS.	-872766.1	5786379.0	3167803.9	M	N(1,1)	-1352.6779	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.07013889	VEL.	3493134.1	13719246.7	-24020475.8	M/HR	N(2,S)	.4744		-1355.4978	
				ALT.= 281.0	KM ACC.	15298184.8	-101432295.5	-55694533.5	M/HR SQ	N(3,S)	.1571		-1.6569	2708.1757
				ELEMENTS- OSC.	A=	6663462.8	E= .0013091583	I= 99.9902264		NODE=	273.1054719	A/P=	107.4972290	M= .1206811
				LAT.=	28.585	LONG.=	-26.464	GST=	8.3360612	TRUE=	43.5958897	EA=	43.5205122	
1971 JAN.	1	1	41	30.0000	POS.	-843131.4	5897161.1	2965740.3	M	N(1,1)	-1352.8076	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.07048611	VEL.	3618470.4	12865769.1	-24469857.4	M/HR	N(2,S)	.5139		-1355.7474	
				ALT.= 280.7	KM ACC.	14779399.6	-103381357.6	-52145715.9	M/HR SQ	N(3,S)	.2121		-1.6024	2748.5550
				ELEMENTS- OSC.	A=	6664016.2	E= .0013560893	I= 99.9897915		NODE=	273.1057059	A/P=	109.6040107	M= .1203767
				LAT.=	26.615	LONG.=	-27.130	GST=	8.3444173	TRUE=	43.4897233	EA=	43.4126447	
1971 JAN.	1	1	42	0.0000	POS.	-812470.5	6000764.8	2760055.8	M	N(1,1)	-1352.9046	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.07083333	VEL.	3739407.2	11996576.2	-24889390.9	M/HR	N(2,S)	.5664		-1355.9037	
				ALT.= 280.4	KM ACC.	14242465.1	-105203420.1	-48532017.7	M/HR SQ	N(3,S)	.2033		-1.5029	2708.8083
				ELEMENTS- OSC.	A=	6664541.8	E= .0013971663	I= 99.9893723		NODE=	273.1059127	A/P=	111.6684578	M= .1201916
				LAT.=	24.644	LONG.=	-27.581	GST=	8.3527735	TRUE=	43.4257798	EA=	43.3471764	
1971 JAN.	1	1	42	30.0000	POS.	-780820.6	6097053.4	2551001.4	M	N(1,1)	-1352.9644	N(R,S)	GRAVITY CS	IN EOTVOS
				40952.07118056	VEL.	3855796.1	11112738.1	-25278556.7	M/HR	N(2,S)	.5694		-1356.0814	
				ALT.= 280.2	KM ACC.	13688030.2	-106896210.6	-44858142.7	M/HR SQ	N(3,S)	.1516		-1.4934	2709.0458
				ELEMENTS- OSC.	A=	6665038.0	E= .0023323529	I= 99.9889726		NODE=	273.1060927	A/P=	113.6900922	M= .1201241
				LAT.=	22.671	LONG.=	-28.119	GST=	8.3611296	TRUE=	43.4145293	EA=	43.3245735	

70, and 75, respectively. In addition, table 5 lists results involving also 60, 61, and 71. Table 6 condenses tables 1 through 5 by showing the maximum and r.m.s. difference over a particular path.

The same set of orbital computations were carried out with the larger set of data, and table 7 presents a summary of results corresponding to table 6. Although the r.m.s. values are now slightly higher, the increase is not significant.

These computations indicate that 0.01 EU is of threshold sensitivity, when considering the magnitudes of a range, $71 \leq l \leq 75$, of sums of harmonics, and that better than 0.02 EU is needed for the range 61 to 70. These figures tend to confirm the previous results of Forward and Kaula, and the general validity of Kaula's rule-of-thumb. They also imply the absence of marked deviations from this rule up to at least $l=75$.

4. HARMONIC ANALYSIS OF RESULTS

To make a more refined analysis, the simulated data were subjected to a harmonic analysis. By this means we were able to ascertain not only the fact that there were differences in the gravity gradients produced by the different geopotential models used, but also the structure of these differences. Two recently derived spectral estimators were used, the maximum entropy (MEM) and the maximum likelihood (MLM) (Lacoss 1971).

The data $\mathbf{x}(t)$ are given at N times uniformly spaced by an interval Δt :

$$\mathbf{x}(t) = \text{col}(x_1, x_2, \dots, x_N).$$

Before the processing, the mean value $\langle x \rangle$ is subtracted from the data

$$\langle x \rangle = \frac{1}{N} \sum_{i=1}^N x_i$$

$$x'_i = x_i - \langle x \rangle.$$

The algorithms for both these spectral estimators begin by generating a sequence of linear error filters from the data.

The value of the error v_{ib} at a point i predicted by a *backward linear error filter* of length b is

$$\begin{aligned} v_{ib} &= x'_i - \hat{x}'_i \\ &= \underline{\Gamma}_b^T \xi(\mathbf{x}'; i, b), \end{aligned} \quad (1)$$

where \hat{x}'_i = predicted value of x'_i ,

$$\underline{\Gamma}_b = \text{col}(1, \gamma_1, \gamma_2, \dots, \gamma_b)$$

is the filter, and

$$\xi(\mathbf{x}'; i, b) = \text{col}(x'_i, x'_{i+1}, \dots, x'_{i+b}).$$

The *error* v_{ib} is the departure of the data from the x'_i predicted by the linear prediction filter which is obtained by setting the first component of $\underline{\Gamma}_b$ to zero and changing the signs of the γ 's.

A *forward* error filter involves the use of values preceding the one predicted:

$$v_{ib} = \underline{\Gamma}_b^T \eta(\mathbf{x}'; i, b), \quad (2)$$

where

$$\eta(\mathbf{x}'; i, b) = \text{col}(x'_i, x'_{i-1}, \dots, x'_{i-b})$$

A sequence of filters of increasing dimension, $\underline{\Gamma}_b$, $b=0, \dots, M \leq N-1$, is computed by a method due to J. P. Burg (1967, 1970).

$$\underline{\Gamma}_0 = 1$$

$$\underline{\Gamma}_1 = \text{col}(1, \gamma_{11})$$

$$\underline{\Gamma}_2 = \text{col}(1, \gamma_{21}, \gamma_{22})$$

$$\dots$$

$$\underline{\Gamma}_M = \text{col}(1, \gamma_{M1}, \gamma_{M2}, \dots, \gamma_{MM}).$$

Each filter is a solution of the discrete Wiener-Hopf equations (Yule-Walker equations—Wiener 1942) as modified for an error filter:

(a) Each filter is related to the previous one in the sequence by the Levinson recursion procedure (Levinson 1947).

(b) At each stage of the Levinson recursion, a single new coefficient γ_{ii} is left undetermined. By requiring that the sum of the mean square prediction errors from the forward application and the backward application of the filter be minimized, a value of γ_{ii} is obtained (J. P. Burg 1967, 1970).

Only the given data are used to generate the filters; no explicit extension of the data is assumed. The covariance ϕ_n of \mathbf{x}' for a lag of $n\Delta t$ and the power p_n (mean square) of the residual errors are computed from $\underline{\Gamma}_n, p_{n-1}; \phi_{n-1}, \dots, \phi_0$ by formulas

ancillary to Levinson's method. Covariances are estimated indirectly by means of the directly estimated filter coefficients rather than by the usual procedure of assuming an extension of the data and then computing estimates of covariances and Γ_n and p_n in turn from them.

One of the consequences of using the Wiener-Hopf equations to generate the filters is that the errors (from a forward or backward application of the filter) $v_b = (v_{1b}, v_{2b}, \dots, v_{Nb})$ have zero covariance for the lags $q\Delta t$, $q=1, \dots, b$. As b increases v_b approaches the state of being white noise (the covariance function of white noise is the Krönecker delta function) and the final error filter Γ_{N-1} is referred to as a *whitening filter*.

If we define

$$\underline{E}_b = \text{col} (1, \exp j\lambda, \exp 2j\lambda, \dots, \exp bj\lambda),$$

where $j = \sqrt{-1}$, and

$$\lambda = 2\pi f\Delta t,$$

the discrete Fourier transform of Γ_b is

$$F_\gamma(f) = \Gamma_b^T \underline{E}_b.$$

The discrete Fourier transform of \underline{x}' and v_b are

$$F_{\underline{x}'}(f) = (\underline{x}')^T \underline{E}_n$$

$$F_{v_b}(f) = (v_b)^T \underline{E}_{n+b-1}.$$

The coefficients in the product of the two polynomials in $\exp j\lambda$, $F_{\underline{x}'}$ and F_γ , are given by eq (2).

Therefore

$$F_{\underline{x}'}(f)F_\gamma(f) = F_{v_b}(f).$$

Denote

$$P_b(f) = \|F_{\underline{x}'}(f)\|^2/w$$

and

$$p_b = \|F_{v_b}(f)\|^2,$$

where $P_b(f)$ is the power spectral density in the Nyquist band $0 \leq f \leq w$, $w = 1/2\Delta t$, and p_b is the power in the residual errors (independent of frequency for white noise and nearly constant even when $b < n$).

Thus

$$P_b(f) = p_b/w \|F_\gamma(f)\|^2. \quad (3)$$

Burg (1967, 1970) has shown that eq (3), used with a Wiener-Hopf whitening filter Γ , produces the spectrum maximizing the entropy

$$S = \int_0^w \ln P(f) df$$

and which also is consistent with the covariance values $\phi_n, \phi_{n-1}, \dots, \phi_0$. This property gives rise to the name of the method.

The filter coefficients depend on the values of the data points, so MEM is known as the *data-adaptive* spectral estimator. In practice it gives spectra with sharp peaks and is good for resolving nearly commensurable frequencies present in data.

The computation of the maximum likelihood estimator is more involved than that for MEM. All the filters $\Gamma_1, \Gamma_2, \dots, \Gamma_b$ must be retained, which requires a considerable amount of computer memory or the use of tape or disc storage. A matrix Q_b is defined as

$$Q_b = \begin{bmatrix} 1/P_b & 0 & 0 & \dots & 0 \\ 0 & 1/P_{b-1} & 0 & & 0 \\ 0 & 0 & 1/P_{b-2} & & 0 \\ \vdots & & & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1/P_1 \end{bmatrix}$$

and a matrix F_b is constructed from *all* the filters generated

$$F_b = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ \gamma_{b1} & 1 & 0 & & 0 \\ \gamma_{b2} & \gamma_{b-1,2} & 1 & & 0 \\ \vdots & & & \ddots & \vdots \\ \vdots & & & & \vdots \\ \gamma_{b,b-1} & \gamma_{b-1,b-2} & \dots & \dots & 1 \end{bmatrix}$$

Then R_b^{-1} , the inverse of the covariance matrix, is given by

$$R_b^{-1} = F_b Q_b F_b^T.$$

The MLM estimator is, then,

$$L_b = 1/(E_b^T R_b^{-1} E_b^*)$$

where the star indicates complex conjugate. The estimator L_j is also data-adaptive, because it, too, depends on the filters Γ_b , Γ_{b-1} , etc. In general the function $L_b(\gamma)$ is smoother than $P_b(\gamma)$ and displays less pronounced peaks. A relationship between $P_b(\gamma)$ and $L_b(\gamma)$ has been derived by Burg (1972), who introduced $P_b(\gamma)$.

Once the filter coefficients are computed, values of the spectral estimate for $[N/2]$ points equally spaced in the frequency domain are computed, where

$$[N/2] = \begin{cases} N/2, & N \text{ even} \\ (N-1)/2, & N \text{ odd} \end{cases}$$

The sequence of frequencies used $\{f_q\}$ is defined by

$$f_q = \frac{q}{N} \cdot \frac{1}{2\Delta t}, \quad q = 1, \dots, N.$$

An array is used to store the resulting tabulation of spectral estimates. A "binary chop" technique is used to determine possible maxima. The array is searched for maxima by testing for the conditions

$$P_j(f_q) \geq P_j(f_{q-1})$$

$$P_j(f_q) \geq P_j(f_{q+1}).$$

For whichever interval the difference is smaller, say $(q, q+1)$, an interpolated value is found

$$f_{q+1/2} = \frac{1}{2}(f_q + f_{q+1}),$$

and $P_b(f_{q+1/2})$ is computed. If a peak is not detected $P_b(f_{q-1/2})$ is computed. Then the test is made on the sequence $P_b(f_{q-1})$, $P_b(f_{q-1/2})$, $P_b(f_q)$. If the test fails again, then it must be that $P_b(f_q) \geq P_b(f_{q+1/2})$ and $P_b(f_q) \geq P_b(f_{q-1/2})$, so that the new test interval is $[f_{q-1/2}, f_{q+1/2}]$. The interval is reduced until the spectral values are all within 1 percent of each other, or the interval has been reduced by a factor of 2^{10} . By this purely numerical means, the maxima of $P_b(f)$ are found. It is not

expedient to attempt to find these maxima through the derivative of P_b , or correspondingly, to find maxima of L_b . The roots of the derivatives could not be found analytically, but only by a numerical procedure such as Newton-Raphsen.

Detecting frequency shifts in the gravity gradient components due to different gravity fields is only part of the problem. It also is necessary to estimate the amplitude of each of the frequencies in the signal. Some numerical experiments proved that the use of the amplitude of the MLM (maxima of L_b) was not a satisfactory way to estimate signal component amplitude. A more rigorous and reliable amplitude estimate would have been to perform a quadrature of the spectrum in the vicinity of the peaks. Since most peaks were well separated, we chose to use a simple procedure—performing a quadrature on the data. We computed by the trapezoidal formula (Abramowitz and Stegun 1964)

$$\hat{c}(\mu) = \frac{1}{\pi} \int_0^{2\pi} x(\theta) \cos \mu\theta d\theta$$

$$\hat{s}(\mu) = \frac{1}{\pi} \int_0^{2\pi} x(\theta) \sin \mu\theta d\theta$$

$$\theta = 2\pi \frac{t - t_0}{t_N - t_0}, \quad t_0 \leq t \leq t_N.$$

If the signal is of the form

$$x(\theta) = c \cos \mu\theta + s \sin \mu\theta,$$

we will have

$$\hat{c}(\mu) = c \left[1 + \frac{\sin 4\pi\mu}{4\pi\mu} - \frac{s}{4\pi\mu} (\cos 4\pi\mu - 1) \right]$$

$$\hat{s}(\mu) = s \left[1 - \frac{\sin 4\pi\mu}{4\pi\mu} - \frac{c}{4\pi\mu} (\cos 4\pi\mu - 1) \right].$$

The amplitude of the signal is

$$A(\mu) = (c^2 + s^2)^{1/2}.$$

We can solve for $A(\mu)$ in terms of $\hat{c}(\mu)$ and $\hat{s}(\mu)$ by successive approximations

$$A^2 = \hat{c}^2 + \hat{s}^2 + \frac{\hat{s}^2 - \hat{c}^2}{2\pi\mu} \sin 4\pi\mu + \frac{\hat{c}\hat{s}}{\pi\mu} (\cos 4\pi\mu - 1) + O(1/\mu^2)$$

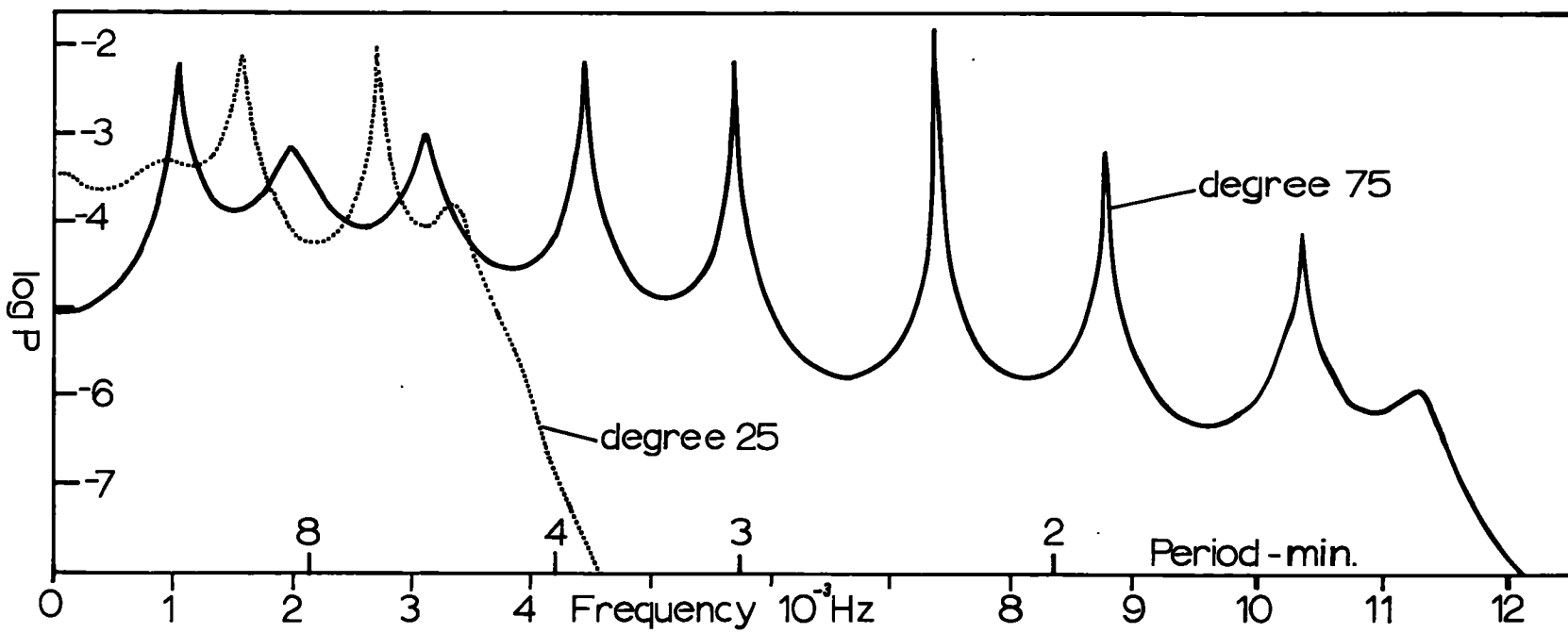


FIGURE 7.—Graph of spectra tabulated in table 8.

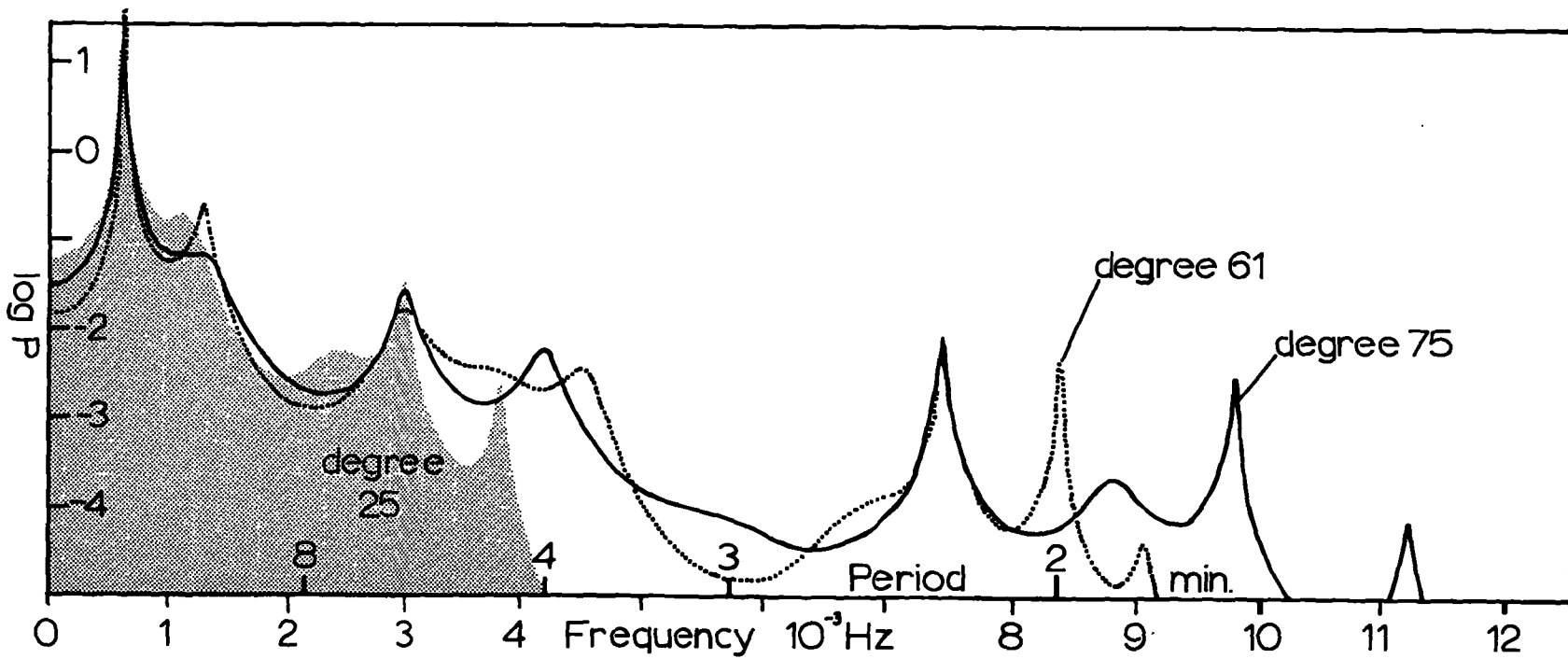
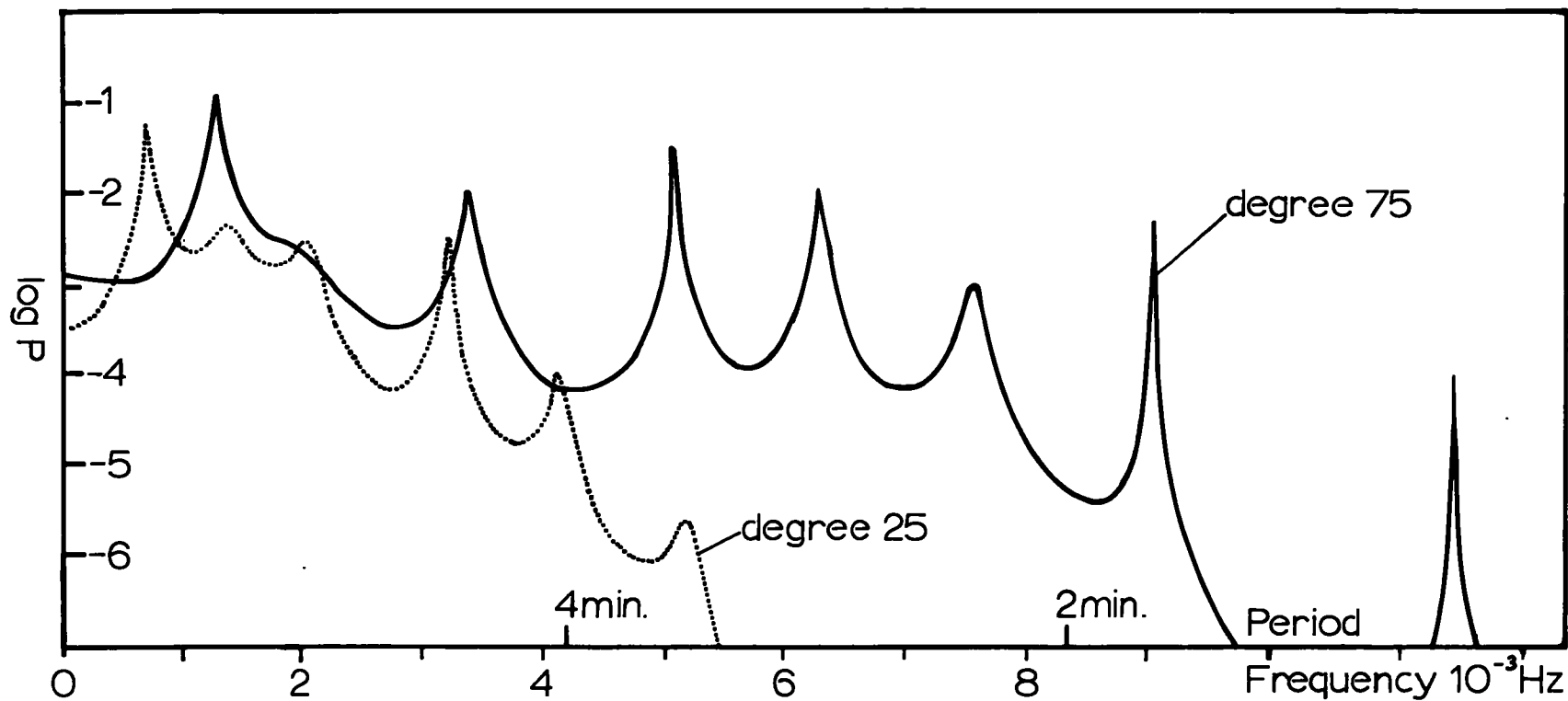


FIGURE 8.—Graph of spectra tabulated in table 9.

FIGURE 9.—Graph of spectra tabulated on left side of table 10.



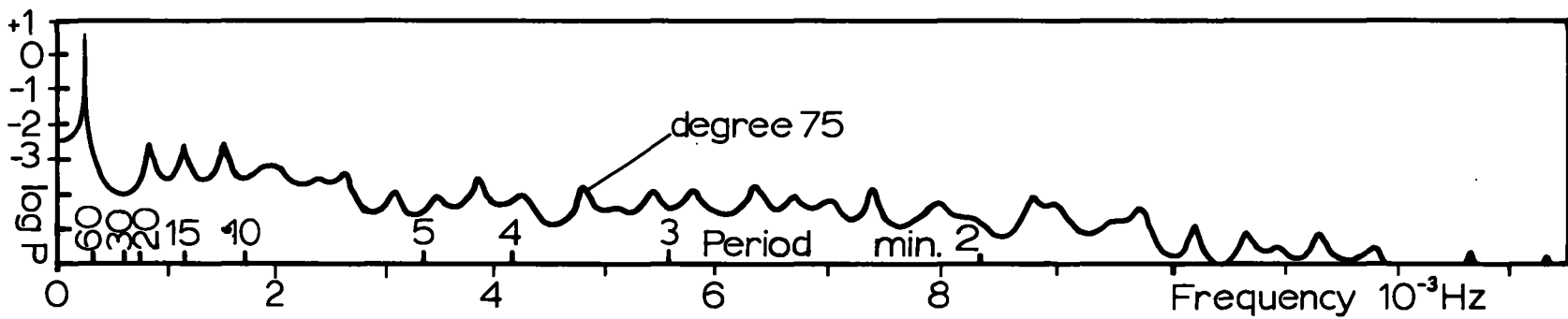


FIGURE 10.—Graph of spectra tabulated on right side of table 10.

All the signal components need not be mutually orthogonal over the data span; but no correction was computed for this.

The gravity gradients could have been computed by analytic means, using an extension of techniques developed by Douglas and Wagner (1968) to estimate the amplitudes of resonance perturbations for satellites. The quantity of algebraic manipulation and programming required does not make this method attractive, nor does the existence of 5,776 spherical harmonic coefficients of degree less than or equal to 75, each of which contributes one or more components to the gravity gradients. With gravity gradients there is no dynamical effect such as resonance that serves to amplify the effects of certain harmonics, so all are thoroughly mixed in the signal.

Another approach would have been to do a complete simulation of an adjustment using generated gradiometer data for a complete coverage of the entire earth. Again, the amount of computation would have been prohibitive. The question of parameterizations for the gravitational field would become paramount, since the gradiometry can give useful information about short wavelengths in the gravity field, but is unlikely to successfully resolve the 5,776 spherical harmonic coefficients.

The number of sinusoids with amplitudes greater than 0.01 EU, and the amplitudes themselves, give us some idea of the amount of information in the signal. One orbit was computed for a full revolution, instead of for just a short arc, to obtain some idea of how much more information comes from more extensive coverage. Tables 10 and 11 give a partial tabulation of these results.

The results in tables 8 through 11 and figures 7 through 10 confirm, in general, the initial evaluation of the results. The harmonic analysis does reveal the existence of a large number of components in the gravity gradient that are well separated in frequency, mostly with amplitudes a little larger than 0.03 EU. This more refined analysis indicates that an instrument sensitivity of 0.01 EU will not be just at the threshold of yielding useful information on the short wave components of the geopotential, but well beyond it.

For the purposes of this study we ignored the fact that the gravity gradient is a signal with five independent, but correlated channels. To simulate the data recording process, we analyzed the gravity gradient components in the "gravity" coordinate system, which is still somewhat different from the signal anticipated by Glaser (1971). Our results will

be more adaptable, however, to variations in the instrumentation configuration.

These spectral analysis methods should be useful for examining actual data when it becomes available. Comparisons with simulated data in number of peaks present and their amplitudes could be made. This would allow one to assess the quality of the data before attempting to use it in any kind of solution for gravity or geopotential.

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TABLE 1.—Gravity gradient component differences for satellite ground track starting at $\phi = 70^\circ N$, $\lambda = 20^\circ E$, and initial azimuth = 210°

$ l_2 - l_1 $	Δt (min.)	ΔN_{gs}					
		(1,1)	(2,1)	(2,2)	(3,1)	(3,2)	(3,3)
70–25	2½	0.0983	0.0491	0.0372	0.0491	0.0507	0.1355
	5	.0406	.0093	.0507	.1976	.0211	.0101
	7½	.0200	.0414	.0050	.0356	.0361	.0250
	10	.0891	.0924	.2074	.1254	.0780	.2966
	12½	.0024	.0057	.0140	.0090	.1254	.0116
75–70	2½	0.0254	0.0001	0.0182	0.0074	0.0098	0.0436
	5	.0080	.0006	.0190	.0033	.0036	.0270
	7½	.0061	.0049	.0137	.0135	.0037	.0197
	10	.0007	.0059	.0052	.0171	.0127	.0045
	12½	.0174	.0056	.0218	.0023	.0043	.0392

TABLE 2.—Gravity gradient component differences for satellite ground track starting at $\phi = 60^\circ N$, $\lambda = 10^\circ E$, and initial azimuth = 180°

$ l_2 - l_1 $	Δt (min.)	ΔN_{gs}					
		(1,1)	(2,1)	(2,2)	(3,1)	(3,2)	(3,3)
70–25	2½	0.1506	0.0598	0.1376	0.0289	0.0150	0.2882
	5	.0057	.0324	.0529	.0380	.0764	.0472
	7½	.0938	.0123	.1357	.0151	.1262	.2295
	10	.0784	.0546	.0850	.0525	.0905	.1634
	12½	.0636	.0320	.0639	.0159	.0219	.0003
75–70	2½	0.0068	0.0019	0.0035	0.0028	0.0191	0.0033
	5	.0005	.0044	.0078	.0110	.0108	.0084
	7½	.0215	.0007	.0064	.0075	.0270	.0279
	10	.0072	.0003	.0035	.0133	.0103	.0106
	12½	.0072	.0051	.0046	.0194	.0110	.0118

TABLE 3.—Gravity gradient component differences for satellite ground track starting at $\phi = 60^\circ N$, $\lambda = 0^\circ E$, and initial azimuth = 180°

$ l_2 - l_1 $	Δt (min.)	ΔN_{gs}					
		(1,1)	(2,1)	(2,2)	(3,1)	(3,2)	(3,3)
70–25	2½	0.0694	0.0557	0.0240	0.1017	0.0195	0.0455
	5	.0751	.0372	.0631	.0806	.0919	.0120
	7½	.0478	.0171	.0285	.1199	.1894	.0763
	10	.0390	.0229	.0514	.0155	.0850	.0124
	12½	.0547	.0146	.1025	.0626	.0337	.1573
75–70	2½	0.0067	0.0061	0.0110	0.0126	0.0041	0.0177
	5	.0044	.0084	.0141	.0081	.0089	.0184
	7½	.0056	.0040	.0124	.0006	.0009	.0179
	10	.0033	.0066	.0078	.0162	.0075	.0111
	12½	.0074	.0020	.0065	.0034	.0003	.0010

TABLE 4.—Gravity gradient component differences for satellite ground track starting at $\phi = 50^\circ N$ $100^\circ W$, and initial azimuth = 180°

$ l_2 - l_1 $	Δt (min.)	ΔN_{gr}					
		(1,1)	(2,1)	(2,2)	(3,1)	(3,2)	(3,3)
70-25	2½	0.0355	0.0054	0.0017	0.0700	0.0012	0.0372
	5	.0016	.0600	.0072	.0036	.0369	.0056
	7½	.0947	.1224	.1418	.0827	.0456	.2365
	10	.0342	.0253	.0487	.0027	.0119	.0829
	12½	.0232	.0262	.0202	.0367	.0209	.0030
75-70	2½	0.0103	0.0028	0.0155	0.0004	0.0002	0.0259
	5	.0123	.0056	.0029	.0011	.0189	.0094
	7½	.0044	.0007	.0063	.0179	.0101	.0106
	10	.0006	.0072	.0010	.0115	.0084	.0004
	12½	.0021	.0051	.0028	.0084	.0031	.0049

TABLE 5.—Gravity gradient component differences for satellite ground track starting at $\phi = 50^\circ N$, $\lambda = 125^\circ W$, and initial azimuth = 90° .

$ l_2 - l_1 $	Δt (min.)	ΔN_{gr}					
		(1,1)	(2,1)	(2,2)	(3,1)	(3,2)	(3,3)
60-25	2½	0.0420	0.0089	0.0270	0.0343	0.0239	0.0149
	5	.0073	.0123	.0820	.0382	.0565	.0747
	7½	.0090	.0098	.0202	.0356	.0246	.0112
	10	.0006	.0219	.0219	.0388	.1169	.0225
	12½	.0187	.0178	.0495	.0002	.0030	.0681
61-60	2½	.0065	.0028	.0045	.0018	.0061	.0108
	5	.0037	.0023	.0114	.0184	.0083	.0150
	7½	.0016	.0051	.0090	.0025	.0119	.0074
	10	.0045	.0029	.0035	.0020	.0138	.0011
	12½	.0028	.0178	.0047	.0074	.0138	.0019
70-61	2½	.0053	.0036	.0015	.0232	.0031	.0068
	5	.0042	.0048	.0183	.0232	.0654	.0226
	7½	.0080	.0047	.0001	.0136	.0055	.0081
	10	.0022	.0031	.0159	.0078	.0069	.0181
	12½	.0189	.0025	.0093	.0181	.0273	.0096
71-70	2½	.0017	.0017	.0038	.0065	.0038	.0056
	5	.0033	.0007	.0043	.0034	.0064	.0077
	7½	.0009	.0011	.0043	.0029	.0047	.0032
	10	.0038	.0067	.0042	.0005	.0004	.0080
	12½	.0029	.0016	.0025	.0058	.0060	.0054
75-71	2½	.0029	.0045	.0070	.0049	.0049	.0040
	5	.0131	.0053	.0030	.0032	.0006	.0161
	7½	.0000	.0028	.0061	.0079	.0064	.0060
	10	.0118	.0038	.0022	.0043	.0026	.0140
	12½	.0163	.0053	.0001	.0168	.0005	.0164

TABLE 6.—Summary of maximum and r.m.s. differences of gravity gradients of various models for smaller data set

ϕ	λ	az.	70-25		75-70	
			max.	r.m.s.	max.	r.m.s.
70	20	210	0.02966	0.0949	0.0436	0.0163
60	10	180	.2882	.1005	.0279	.0117
60	0	180	.1894	.0736	.0184	.0094
50	-100	180	.2365	.0674	.0259	.0094
50	-125	90	0.1169	0.0402	60-25	
			.0184	.0085	61-60	
			.0232	.0126	70-61	
			.0080	.0042	71-70	
			.0164	.0082	75-71	

TABLE 7.—Summary of maximum and r.m.s. differences of gravity gradients of various models for larger data set

ϕ	λ	az.	70-25		75-70	
			max.	r.m.s.	max.	r.m.s.
70	20	210	0.3675	0.1149	0.0418	0.0167
60	10	180	.2901	.1067	.0365	.0153
60	0	180	.1939	.0769	.0218	.0083
50	-100	180	.3269	.0891	.0229	.0088
50	-125	90	0.1160	0.0481	60-25	
			.0133	.0058	61-60	
			.0462	.0182	70-61	
			.0182	.0058	71-70	
			.0217	.0102	75-71	

TABLE 8.—Amplitudes of harmonic components of gravity gradients N_{21} for fields of degree and order 25, 70, 75; the trajectory is the one described in the caption of table 2

Period (minutes)	MEM Peak P_{20} (EU) ²	Amplitude (EU)	Period (minutes)	MEM Peak P_{20} (EU) ²	Amplitude (EU)
Degree and order 25					
14.6	5.8×10^{-4}	0.04	1.9	5.3×10^{-3}	.017
8.7	7.4×10^{-3}	.04	1.7	4.4×10^{-3}	.014
5.1	9.2×10^{-3}	.05	1.4	3.5×10^{-3}	.0035
4.1	1.8×10^{-4}	.02	Degree and order 75		
1.9	1.2×10^{-10}	.0018	13.5	6.5×10^{-3}	0.034
1.6	3.3×10^{-11}	.0018	7.0	8.0×10^{-4}	.018
1.3	8.2×10^{-11}	.0017	4.5	1.0×10^{-3}	.023
Degree and order 70			3.1	6.8×10^{-3}	.032
13.2	7.2×10^{-3}	0.03	2.4	6.9×10^{-3}	.024
6.8	5.4×10^{-4}	.017	1.86	1.2×10^{-2}	.018
4.2	5.1×10^{-4}	.016	1.57	6.6×10^{-4}	.012
3.1	6.6×10^{-3}	.033	1.33	6.3×10^{-3}	.006
2.4	3.5×10^{-3}	.022	1.22	1.3×10^{-3}	.005

TABLE 9.—Amplitudes of harmonic components of gravity gradient N_{22} for fields of degree and order 25, 60, 61, 70, 71, 75; trajectory is the one described in the caption of table 5

Period (minutes)	MEM Peak P_{20} (EU) ²	Amplitude (EU)	Period (minutes)	MEM Peak P_{20} (EU) ²	Amplitude (EU)
Degree and order 25			Degree and order 70		
24.2	11.3	1.41	23.2	12.75	1.44
12.7	.27	1.23	4.6	.040	.23
5.8	.0067	0.41	3.3	.0056	.27
4.7	.033	0.20	2.3	9.8×10^{-3}	.09
3.6	.0023	0.15	1.82	.0025	.11
1.57	1.2×10^{-9}	0.12	1.43	.0016	.07
1.42	2.6×10^{-10}	0.08	1.34	5.8×10^{-3}	.12
1.20	1.2×10^{-8}	0.09			
Degree and order 60			Degree and order 71		
23.5	14.1	1.43	23.0	11.7	1.44
11.3	.15	.97	4.6	.035	.26
4.8	.065	.13	3.2	.0054	.30
3.4	.016	.15	2.3	1.4×10^{-4}	.11
2.9	.0012	.24	1.84	.0035	.09
2.0	.0075	.18	1.65	5.6×10^{-4}	.10
1.78	.028	.15	1.39	.0088	.12
1.69	.018	.13	1.26	4.5×10^{-3}	.09
1.06	2.5×10^{-10}	.08			
Degree and order 61			Degree and order 75		
23.6	36.8	1.43	23.4	18.6	1.43
11.2	.27	.95	11.4	.095	.98
4.7	.022	.20	4.6	.037	.23
3.1	.0043	.32	3.3	.0070	.25
1.86	.0056	.05	1.86	.012	.06
1.66	.0050	.11	1.56	2.4×10^{-4}	.12
1.52	3.9×10^{-5}	.13	1.40	.0033	.10
			1.22	8.2×10^{-3}	.11

TABLE 10.—Spectral analysis of simulated gravity gradients for a full revolution. These are results for the N_{31} component. Comparison is made with 30-minute data spans using 25, 25, fields and 75, 75 fields. The trajectory is the one described in the caption of table 1. The complete revolution is a forward extension of the short arc of table 1.

Period (minutes)	MEM Peak P_{20} (EU) ²	Amplitude (EU)	Period (minutes)	MEM Peak P_{100} (EU) ²	Amplitude (EU)
Degree and order 25			Degree and order 75 one complete revolution		
20.8	0.061	0.13	85.1	4.0	0.66
10.3	.006	.13	21.1	.0036	.11
7.0	.004	.07	15.0	.0033	.04
4.4	.004	.015	11.3	.0032	.07
3.4	1.0×10^{-4}	.009	8.5	.0009	.018
2.7	2.7×10^{-6}	.007	7.0	.0004	.026
1.87	1.5×10^{-9}	.005	6.4	.0005	.026
1.36	1.0×10^{-9}	.006	5.4	.0001	.019
1.15	4.0×10^{-10}	.005	4.8	.0001	.014
			4.4	.0004	.013
Degree and order 70			3.9	.0001	.015
11.2	0.070	0.19	3.5	.0002	.015
4.0	.007	.07	3.3	5.0×10^{-5}	.012
2.7	.032	.07	3.1	.0002	.015
2.3	.011	.05	2.9	.0002	.012
1.9	.004	.036	2.6	.0002	.021
1.57	.0005	.007	2.5	.0001	.011
1.36	.0001	.004	2.4	7.9×10^{-5}	.008
Degree and order 75			2.3	.0002	.012
11.0	0.111	0.19	2.1	6.1×10^{-5}	.013
4.1	.011	.07	1.90	9.7×10^{-5}	.012
2.7	.036	.07	1.86	6.1×10^{-5}	.012
2.2	.011	.05	1.71	4.3×10^{-5}	.005
1.83	.001	.022	1.63	1.3×10^{-5}	.006
1.53	.005	.009	1.56	7.8×10^{-6}	.001
1.21	.0001	.004	1.52	3.0×10^{-6}	.001
			1.47	8.3×10^{-6}	.004
			1.41	3.2×10^{-6}	.004
			1.36	0.8×10^{-6}	.0004
			1.32	2.4×10^{-6}	.003
			1.29	1.1×10^{-6}	.002
			1.25	2.0×10^{-6}	.001
			1.21	0.2×10^{-6}	.002
			1.12	3.2×10^{-11}	.0008
			1.07	7.2×10^{-11}	.0002
			1.03	3.2×10^{-10}	.0006

TABLE 11.—*These data correspond to the right side of table 10,
but are for the N₁₁ component.*

Period (minutes)	MEM Peak P_{100} (EU) ²	Amplitude (EU)
91.4	562.1	7.13
45.0	307.6	3.73
12.5	.0006	.26
10.2	.0011	.17
7.30	.0032	.06
6.19	9.1×10^{-4}	.23
4.62	3.07×10^{-4}	.08
4.13	6.97×10^{-4}	.13
3.60	0.81×10^{-4}	.10
3.30	1.76×10^{-4}	.06
2.94	1.05×10^{-4}	.07
2.80	0.53×10^{-4}	.09
2.41	$.46 \times 10^{-4}$.07
2.25	$.11 \times 10^{-4}$.07
2.08	$.91 \times 10^{-4}$.05
1.99	$.38 \times 10^{-4}$.07
1.87	$.14 \times 10^{-4}$.05
1.75	$.20 \times 10^{-4}$.05
1.63	4.4×10^{-6}	.04
1.51	5.9×10^{-6}	.04
1.45	$.88 \times 10^{-6}$.04
1.37	$.55 \times 10^{-6}$.03
1.30	$.31 \times 10^{-6}$.04
1.26	$.24 \times 10^{-6}$.03
1.19	6.7×10^{-9}	.04
1.02	1.9×10^{-11}	.04

(Continued from inside front cover)

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